

Katie A. McLaughlin<sup>1</sup>Sonia Alves<sup>2</sup>Margaret A. Sheridan<sup>3,4</sup>

<sup>1</sup>Department of Psychology  
University of Washington  
Box 351525, Seattle, WA, 98195-1525  
E-mail: mclaughk@uw.edu

<sup>2</sup>Harvard School of Education

<sup>3</sup>Division of Developmental Medicine  
Boston Children's Hospital  
Boston, MA

<sup>4</sup>Department of Pediatrics  
Harvard Medical School  
Boston, MA

# Vagal Regulation and Internalizing Psychopathology Among Adolescents Exposed to Childhood Adversity

**ABSTRACT:** Childhood adversity (CA) is strongly associated with youth psychopathology. Identifying factors that reduce vulnerability following CA is critical for developing preventive interventions. Vagal tone and vagal reactivity following psychosocial stressors might influence psychopathology among youths exposed to CA. We acquired heart period and impedance cardiography data to calculate respiratory sinus arrhythmia (RSA) and pre-ejection period (PEP) from 157 adolescents aged 13–17 years at rest and during the Trier Social Stress Test (TSST). Internalizing and externalizing symptoms and multiple forms of CA were assessed. Resting RSA and RSA reactivity interacted with CA in predicting internalizing but not externalizing psychopathology; CA was unassociated with internalizing problems in adolescents with high resting RSA and RSA reactivity. No interactions were observed with PEP. High resting RSA predicted greater vagal rebound and accelerated heart rate recovery following the TSST, highlighting one potential mechanism underlying low internalizing symptoms following CA among youths with high vagal tone. © 2013 Wiley Periodicals, Inc. *Dev Psychobiol* 56: 1036–1051, 2014.

**Keywords:** childhood adversity; adverse childhood experiences; trauma; respiratory sinus arrhythmia; RSA; vagal tone; vagal reactivity; internalizing psychopathology

Evidence from longitudinal and population-based studies consistently indicates that adverse childhood experiences, including child maltreatment, exposure to violence, and trauma, are among the most robust risk factors for the onset of psychopathology. Experiences of childhood adversity (CA) are associated with the onset of a wide range of both internalizing and externalizing disorders, including mood, anxiety, disruptive behavior, and substance use disorders (Cohen, Brown, & Smailes, 2001; Green et al., 2010; Kessler, Davis, & Kendler,

1997; McLaughlin et al., 2012; Scott, McLaughlin, Smith, & Ellis, 2012). This pattern suggests that a generalized diathesis for psychopathology might arise as a result of adverse environmental experiences early in development. Exposure to diverse forms of CA is associated with the onset of psychopathology not only in childhood and adolescence, but also in adulthood (Green et al., 2010; Kessler et al., 1997; Scott, Smith, & Ellis, 2010). Identifying factors that reduce vulnerability to psychopathology following exposure to CA is critical for informing the development and targeting of interventions to prevent the mental health sequelae of these experiences. In the current report, we investigate whether aspects of both resting autonomic nervous system (ANS) function and reactivity to psychosocial stress protect youths from the mental health consequences of exposure to multiple forms of CA.

The ANS regulates an organism's response to changes in the internal and external environment that

Manuscript Received: 18 April 2013

Manuscript Accepted: 18 November 2013

The authors have no financial disclosures or conflicts of interest to report.

Correspondence to: Katie A. McLaughlin

Contract grant sponsor: The National Institutes of Mental Health

Contract grant numbers: K01-MH092625, K01-MH092555

Article first published online in Wiley Online Library

(wileyonlinelibrary.com): 11 December 2013

DOI 10.1002/dev.21187 • © 2013 Wiley Periodicals, Inc.

require adaptation, including experiences of psychosocial stress (Berntson, Cacioppo, & Quigley, 1993a; Lucini, Di Fede, Parati, & Pagani, 2005; Lucini, Norbiato, Clerici, & Pagani, 2002; Porges, 1995a; Sloan et al., 1994). The ANS maintains homeostasis and regulates the functioning of multiple organs—including the heart, lungs, kidneys, salivary glands, and many others—through the dynamic interactions of its sympathetic and parasympathetic branches. Sympathetic nervous system activation occurs in response to stressors and other environmental challenges and coordinates a variety of physiological processes frequently described as the “fight-or-flight” response. This response serves the function of mobilizing physiological and cognitive resources to respond to environmental demands and results in heart rate acceleration, increased metabolic output, and catecholamine release from the adrenal glands (Cacioppo et al., 1998; Lane, Adcock, & Burnett, 1992; Lucini et al., 2002, 2005). These changes result in increased cardiac output and availability of glucose, oxygenated blood, and other nutrients to skeletal muscles and the brain in order to permit enhanced motor activity and facilitate cognitive performance during stress. The parasympathetic nervous system serves an opposing set of functions that promote growth and restoration when the organism is at rest, and following exposure to stressors, parasympathetic nervous system activity serves to inhibit sympathetic activation, lower heart rate, and facilitate a return to homeostasis (Berntson et al., 1997; Porges, 1992, 1995a, 1995b, 2007). Although the sympathetic and parasympathetic nervous systems perform opposing sets of functions, they do not always operate in an antagonist fashion. Indeed, each branch of ANS may exhibit independent activation that is uncoupled with the opposing branch and patterns of coactivation and coinhibition are also possible (Berntson, Cacioppo, & Quigley, 1991; Berntson et al., 1993a; Berntson, Cacioppo, Quigley, & Fabro, 1994). Because of the central role that these systems play in regulating physiological responses to environmental change and psychosocial stress, individual differences in ANS function and reactivity might be associated with psychopathology among children and adolescents exposed to adverse environmental experiences.

Vagal tone and vagal reactivity are specific aspects of ANS function that are likely to play a role in conferring vulnerability to psychopathology following exposure to CA. Vagal tone refers to tonic parasympathetic nervous system control over heart rate and is mediated by the actions of the vagus nerve, which originates in the brain stem and terminates at the sino-atrial node of the heart (Allen, Chambers, & Towers, 2007; Berntson et al.,

1997; Porges, 1992, 1995a, 2007). The predominant theoretical conceptualization of the role that vagal tone plays in affective experience and social behavior is the polyvagal theory (Porges, 1995b, 2001, 2007). This theory argues that in mammals the “vagal brake” represents the primary mechanism involved in regulating rapid changes in heart rate in response to environmental demands in order to mobilize metabolic resources and facilitate social behavior (Porges, 2007). The vagal brake refers to the myelinated portion of the vagus nerve that originates in the nucleus ambiguus and which the polyvagal theory argues is present only in mammals (Porges, 1992, 1995a, 2007). At rest, the vagal brake has tonic inhibitory influences on cardiac chronotropy that result in resting heart rate that is lower than the basal firing rate of the sino-atrial node. The vagal brake is also highly responsive to changes in environmental circumstances. Withdrawal of the vagal brake facilitates sympathetic activation and metabolic mobilization to support fight-or-flight behaviors in situations involving threat, whereas the vagal brake is increased or maintained in situations requiring social engagement and communication (Porges, 2007). The polyvagal theory thus argues that vagal regulation, via both tonic influences of the vagal brake and through responses to environmental demands, has downstream effects on emotional expression, emotion regulation, and social behavior.

Extensive evidence from developmental studies supports the basic tenets of the polyvagal theory in regard to social and emotional behavior. In children and adolescents, high resting vagal tone and vagal suppression in response to environmental changes are associated with greater expression of emotion, low levels of negative emotionality, and adaptive emotion regulation skills (Calkins & Keane, 2004; Cole, Zahn-Waxler, Fox, Usher, & Welsh, 1996; Eisenberg et al., 1995; Fabes, Eisenberg, & Eisenbud, 1993; Fabes, Eisenberg, Karbon, Troyer, & Switzer, 1994; Stifter, Fox, & Porges, 1989). High vagal tone is also associated with better performance on tests of sustained attention (Suess, Porges, & Blude, 1994). Multiple studies have observed negative associations of resting vagal tone with youth internalizing and externalizing problems (Calkins, Graziano, & Keane, 2007; Mez-zacappa et al., 1997; Pine et al., 1998; Porges, Doussard-Roosevelt, Portales, & Greenspan, 1996; Shannon, Beauchaine, Brenner, Neuhaus, & Gatzke-Kopp, 2007). Environmental moderators of the association between vagal tone and internalizing problems, such as parental psychopathology, have also been reported (Forbes, Fox, Cohn, Galles, & Kovacs, 2006). With regard to vagal reactivity, a recent meta-analysis reported negative associations, albeit of small magnitude, between vagal reactivity and both internalizing and externalizing psychopathology as well as cognitive and academic problems (Graziano &

Derefinko, 2013). No association was found between vagal reactivity and social functioning.

Taken together, these findings suggest that high vagal tone and vagal reactivity might serve a protective role for youths exposed to high levels of environmental adversity. Indeed, several prior studies have found that high resting vagal tone and greater vagal reactivity during challenge tasks is associated with improved functional outcomes among children living in families with high levels of marital conflict (El-Sheikh, Harger, & Whitson, 2001; El-Sheikh & Whitson, 2006; Katz & Gottman, 1995; Katz & Gottman, 1997; Leary & Katz, 1997; Obradovic, Bush, & Boyce, 2011; Whitson & El-Sheikh, 2003). This pattern has been observed in predicting both mental health outcomes, including internalizing and externalizing psychopathology (El-Sheikh et al., 2001; El-Sheikh & Whitson, 2006; Katz & Gottman, 1995, 1997), as well as physical health outcomes (Whitson & El-Sheikh, 2003) and peer relationships (Leary & Katz, 1997). Similarly, one study observed lower levels of aggressive problems among maltreated boys with high vagal tone compared with those with low vagal tone (Gordis, Feres, Olezski, Rabkin, & Trickett, 2010). These studies provide preliminary evidence for a potential protective effect of high vagal tone and vagal suppression in the context of environmental adversity. However, we are unaware of studies examining the degree to which vagal tone and vagal reactivity buffer youths from psychopathology following a wider range of adverse childhood experiences.

Moreover, it is unknown whether these effects are specific to vagal regulation, or whether variation in sympathetic nervous system function might also buffer against the negative effects of environmental adversity. Lower sympathetic nervous system activation has been observed at rest in response to tasks involving both reward and frustration in children with disruptive behavior disorders, particularly conduct disorder (Beauchaine, Hong, & Marsh, 2008; Crowell et al., 2006; Lorber, 2004; Shannon et al., 2007). Few studies have examined the contribution of sympathetic nervous system activation, either at rest or in response to challenge, as a factor that moderates vulnerability to psychopathology among youths exposed to environmental adversity. One previous study using the preejection period (PEP) as a measure of sympathetic activation found no association between marital conflict and internalizing problems among children with high PEP reactivity and a negative association between marital conflict and internalizing problems in children with low PEP reactivity (Obradovic et al., 2011). In another study using skin conductance as a measure of sympathetic activation, marital conflict was associated

with greater externalizing problems among girls with high sympathetic reactivity but not low reactivity (El-Sheikh, 2005). Together, these findings suggest that low sympathetic reactivity might serve as a protective factor against the development of psychopathology following CA. However, the extent to which this association extends to adverse childhood experiences other than marital conflict is unknown.

In the current study, we examined the associations of parasympathetic and sympathetic nervous system function—both at rest and in response to a psychosocial stressor—as moderators of the association between multiple forms of CA and adolescent internalizing and externalizing symptoms. Given the central role of vagal regulation in emotional functioning (Porges, 2007) and prior evidence for a moderating effect in response to marital conflict (e.g., El-Sheikh & Erath, 2011), we expected to find protective effects specifically among adolescents with high resting vagal tone and high vagal reactivity. To examine this hypothesis, we measured respiratory sinus arrhythmia (RSA), a noninvasive measure of parasympathetic control of heart rate used to estimate vagal tone and vagal regulation (Berntson, Cacioppo, & Quigley, 1993b; Grossman & Taylor, 2007; Porges, 1992, 1995a). RSA reflects coupling of heart rate and respiration that leads to systematic variability in heart rate during inhalation as compared with exhalation (Allen et al., 2007). Pharmacological blockade studies indicate that RSA is influenced solely by the vagus nerve and therefore reflects a pure measure of parasympathetic cardiac control (Cacioppo et al., 1994; Grossman, Stemmler, & Meinhardt, 1990; Kollai & Mizsei, 1990; McCabe, Yongue, Ackles, & Porges, 1985; Pagani et al., 1986). To assess sympathetic nervous system activation, we measured the PEP. Pharmacological blockades indicate that PEP becomes shorter solely with sympathetic activation and is uninfluenced by the vagus nerve (Cacioppo et al., 1994). We expected that the association between CA exposure with internalizing and externalizing symptoms would be weaker in adolescents with low PEP reactivity, given some evidence for this type of association with regard to marital conflict (El-Sheikh, 2005; Obradovic et al., 2011). We examined whether RSA and PEP at rest in response to a psychosocial stressor modified the associations of a broad range of childhood adversities—including child abuse, community violence, traumatic events, and low socioeconomic status (SES)—with internalizing and externalizing symptoms. Finally, we investigated a possible mechanism by which autonomic function is associated with lower psychopathology following CA. Specifically, we evaluated whether aspects of ANS function that buffered against psychopathology among adolescents exposed to

adversity were associated with faster physiological recovery following a laboratory-based psychosocial stressor.

## METHODS

### Sample

A community-based sample of 168 adolescents aged 13–17 was recruited for participation at schools, after-school programs, general medical clinics, and the general community in Boston and Cambridge, MA. Recruitment efforts were targeted at recruiting a sample with high racial/ethnic diversity as well as variability in exposure to CA. To do so, we recruited heavily from neighborhoods with high levels of violence and from clinics that served a predominantly low-SES catchment area. Adolescents taking medications known to influence cardiovascular functioning were excluded ( $n=4$ ). The sample was 56.0% female ( $n=94$ ) and had a mean age of 14.9 years ( $SD=1.36$ ). All females were postmenarchal. Racial/ethnic composition of the sample was as follows: 40.8% White ( $n=69$ ), 18.34% Black ( $n=31$ ), 17.8% Hispanic ( $n=30$ ), 7.7% Asian ( $n=13$ ), and 14.8% Biracial or other ( $n=25$ ). Approximately one-third of the sample (38.1%,  $n=64$ ) was from single-parent households and a slightly smaller proportion (29.5%) was living below the poverty line (see below for greater detail). Equipment malfunctions resulted in loss of autonomic data from eight participants. An additional three participants were excluded from analysis due to presence of a heart murmur ( $n=1$ ), severe cognitive impairment ( $n=1$ ), and presence of a pervasive developmental disorder ( $n=1$ ). The final analytic sample included 157 participants.

### Physiological Measures

Continuous cardiac and hemodynamic measures were recorded noninvasively during a 10-min baseline period in which participants were asked to sit quietly without moving as well as during a social-evaluative stress procedure (described in more detail below), and a 5-min recovery period. Electrocardiogram (ECG) recordings were obtained with a Biopac ECG amplifier (Goleta, CA) using a modified Lead II configuration (right clavicle, left lower torso, and right leg ground). Cardiac impedance recordings were obtained with a Bio-Impedance Technology model HIC-2500 impedance cardiograph (Chapel Hill, NC). One pair of Mylar electrode tapes was placed on the neck and another pair was placed on the torso. A continuous 500  $\mu$ A AC 95 kHz current was passed through the two outer electrodes, and basal thoracic impedance ( $z_0$ ) and the first derivative of basal impedance ( $dz/dt$ ) was measured from the inner electrodes. Biopac MP150 hardware and AcqKnowledge software was used to integrate and acquire the ECG and impedance cardiography data, both of which were sampled at 1.0 kHz.

ECG and impedance cardiograph data were scored by raters blind to participant status on all variables of interest, including CA exposure and psychopathology. Signals were averaged into 1-min epochs using Mindware Software (Mindware

Technologies, Gahanna, OH). Minutes with significant artifact in the ECG signal were not scored to prevent bias in RSA estimates (<3% of minutes recorded were discarded). The amount of missing data due to artifact did not vary by CA or by psychopathology. RSA was calculated from the inter-beat interval time series using spectral analysis implemented in Mindware HRV Software. RSA was calculated for the frequency band .12–.40 Hz. Based on evidence suggesting that controlling for respiration rate is necessary in order for RSA to represent a measure of purely parasympathetic cardiac control (Grossman, Karemaker, & Wieling, 1991; Grossman & Taylor, 2007), we controlled for respiration rate in all analysis. Respiration rate was derived from the basal cardiac impedance signal. Impedance cardiography data scoring produced measures of PEP, a measure of sympathetic nervous system activation representing the amount of time that elapses from the beginning of ventricular depolarization to the moment the aortic valve opens and blood begins leaving the left ventricle (electrical systole). Because accurate scoring of impedance cardiography data requires manual placement of the B point (opening of the aortic valve) (Blascovich, Mendes, Vanman, & Dickerson, 2011); these data were scored by two independent raters. PEP differences of more than 5% were reviewed and adjudicated by the first author (K.A.M.).

### Childhood Adversity

Child abuse was assessed using the Childhood Trauma Questionnaire (CTQ) (Bernstein, Ahluvalia, Pogge, & Handelsman, 1997; Bernstein et al., 2003). The CTQ is a 28-item scale that assesses the frequency of exposure to maltreatment during childhood and adolescence. Three types of abuse are assessed: physical, sexual, and emotional abuse. The CTQ is among the most commonly used measures of child maltreatment and has excellent internal consistency, test–retest reliability, and convergent and discriminant validity with both interview measures and clinician reports of maltreatment (Bernstein et al., 1997; Bernstein, Fink, Handelsman, Foote, & Lovejoy, 1994). We created an abuse composite by summing items from the physical, sexual, and emotional abuse subscales, which demonstrated good reliability in our sample ( $\alpha = .88$ ).

Community Violence Exposure was assessed using the Screen for Adolescent Violence Exposure (SAVE) (Hastings & Kelley, 1997). The SAVE is a 32-item measure assessing violence exposure in school, home, and neighborhood contexts. Only items assessing school and neighborhood violence were considered to avoid overlap with the CTQ regarding experiences of child abuse. Respondents rate the frequency of exposure to indirect violence (e.g., “I have heard about someone getting shot”) as well as being the victim of violence (e.g., “Someone has pulled a knife on me”). The SAVE total violence exposure scale demonstrated excellent internal consistency in this sample ( $\alpha = .89$ ).

Other Lifetime Traumatic Events were assessed using the trauma assessment included in the posttraumatic stress disorder section of the Composite International Diagnostic Interview (Kessler & Üstun, 2004). Traumatic events assessed within this module included interpersonal violence (e.g.,

physical assaults by a romantic partner; items querying child maltreatment were removed), accidents and injuries (e.g., natural disasters), and network events (e.g., unexpected death of a loved one). A trauma score was created by summing the total number of distinct lifetime traumatic events.

Low SES was assessed with questions about family size and total household income completed by a parent/guardian. Income information was provided by 88.5% of parent/guardians in the final analytic sample ( $n = 139$ ). This information was used to create an income-to-needs ratio for the family, based on poverty thresholds created by the US Census for the year 2011. The income-to-needs ratio is calculated by dividing total family income by the level of income that denotes the poverty threshold for a family of that size. Values less than 1 indicate that family income is below the poverty line. A total of 29.5% of families who provided income information ( $n = 41$ ) were living below the poverty line.

### Psychopathology

Internalizing and externalizing psychopathology were assessed using the Youth Self-Report form and the caregiver report version of the Child Behavior Checklist (CBCL) (Achenbach, 1991; Achenbach & Rescorla, 2001). The CBCL scales are among the most widely used measures of youth emotional and behavioral problems and use extensive normative data to generate age-standardized estimates of the severity of internalizing and externalizing psychopathology. The broad-scale internalizing and externalizing scales have demonstrated validity in discriminating between youths with and without psychiatric disorders (Achenbach, 1991; Chen, Faraone, Biederman, & Tsuang, 1994; Kendall et al., 2007; Seligman, Ollendick, Langley, & Baldacci, 2004). Because both parents and adolescents are known to contribute unique information in the assessment of adolescent psychopathology (Cantwell, Lewinsohn, Rohde, & Seeley, 1997), we examined internalizing and externalizing problems based both on youth and parent reports.

### Procedure

Study procedures were completed between 1 PM and 7 PM for all participants. After completing the baseline-resting period, all participants completed the Trier Social Stress Test (TSST) (Kirschbaum, Pirke, & Hellhammer, 1993), a widely used laboratory-based stress induction procedure that has been adapted for use with children and adolescents (Buske-Kirschbaum et al., 1997, 2003; Stroud et al., 2009). The TSST involves delivering a speech and completing an arithmetic task in front of two evaluators and reliably elicits an ANS response (Kirschbaum et al., 1993; MacMillan et al., 2009; Stroud et al., 2009). The TSST involves three discrete periods (speech preparation, speech, and math). After being told that they would be delivering a speech in front of trained evaluators who would judge their performance, participants were given 5-min to prepare their speech. In the current study, participants were asked to talk about the

qualities of a good friend and to describe which of those characteristics they did and did not possess. Next, participants delivered a 5-min speech in front of two evaluators. Evaluators were trained to provide neutral and mildly negative feedback (e.g., appearing bored) during the speech. Finally, participants completed a mental subtraction task out loud in front of the evaluators for 5-min. Specifically, participants were asked to count backwards in steps of seven from a three-digit number and were stopped and asked to start again each time they made a mistake. ECG and cardiac impedance recordings were measured continuously across each period. Two participants declined to participate in the TSST. Following the TSST, participants engaged in a 5-min recovery period during which they were asked to sit quietly while their physiological activity was monitored.

### Statistical Analysis

We evaluated the hypothesis that the association of CA with internalizing and externalizing symptoms would be weaker among adolescents with high vagal tone and high vagal reactivity. To do so, we created interaction terms between resting RSA and RSA reactivity with each of our four CA variables. RSA reactivity values were calculated by subtracting RSA during the first minute of speech preparation (i.e., anticipation) and speech (i.e., performance/evaluation) portions of the TSST from average baseline RSA (so that higher values indicate greater RSA suppression). Using the first minute of the TSST to calculate reactivity is standard practice (Jamieson, Mendes, Blackstock, & Schmader, 2010), as cardiovascular reactivity is greatest during the first minute of the task and habituates quickly. We used the speech portion of the TSST to calculate reactivity during the performance/evaluation component of the task rather than both the speech and math, both because RSA suppression was greatest during the speech portion of the TSST and in order to reduce the number of models being examined. The same procedures were used for examining interactions between PEP and CA in predicting psychopathology. All variables were standardized prior to creating interaction terms and conducting regression analysis, and main effect terms for RSA and CA were included in interaction models. Procedures outlined by Aiken and West (1991) were used to evaluate significant interactions. To evaluate whether vagal tone was associated with physiological recovery after psychosocial stress, we examined the associations of resting RSA with heart rate, PEP, and RSA recovery following the TSST. We controlled for age and gender in all analyses and for respiration rate in models including RSA.

## RESULTS

### Descriptive Statistics and Bivariate Associations

Table 1 provides information on RSA at baseline and during each period of the TSST, CA variables, and psychopathology. Zero-order correlations among all

**Table 1. Descriptive Statistics of RSA, PEP, Childhood Adversity, and Psychopathology Variables (N = 157)**

	Mean	SD	Range
Baseline RSA	6.65	1.08	3.39–8.89
RSA—preparation	6.55	1.12	2.49–8.95
RSA—speech	6.45	1.09	3.53–9.81
RSA—math	6.54	1.21	2.17–9.84
RSA—recovery	6.58	1.12	1.94–8.63
Baseline PEP	103.88	14.98	89.78–144.10
PEP—preparation	93.86	18.59	67.00–122.00
PEP—speech	89.98	18.42	60.00–127.50
PEP—math	92.94	17.21	69.50–129.50
PEP—recovery	104.26	14.39	89.80–130.60
Child abuse	4.65	7.03	0–37
Community violence	42.17	10.39	26–78
Peer victimization	8.09	7.11	0–33
Other trauma	4.08	2.95	0–13
Income-to-needs ratio	4.50	3.15	.05–13.95
YSR internalizing	52.88	10.25	27–79
CBCL internalizing	52.18	9.59	29–73
YSR externalizing	50.99	10.59	33–81
CBCL externalizing	48.32	10.35	34–82

study variables are provided in Table 2. Of note, resting RSA, RSA reactivity, resting PEP, and PEP reactivity were not associated with internalizing or externalizing problems based on either parent or youth report. None of the CA variables were associated with resting RSA or PEP, and with the exception of two associations were not associated with RSA reactivity or PEP reactivity. In

contrast, each of the domains of CA was positively and significantly associated with youth-reported externalizing problems and parent-reported externalizing problems. Youth-reported internalizing symptoms were positively associated with all domains of CA, with the exception of income-to-needs ratio. CA exposure was unrelated to parent-reported internalizing problems.

**Table 2. Correlations Among RSA, PEP, Childhood Adversity, and Psychopathology Variables**

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1. Baseline RSA	—															
2. RSA—preparation	.68**	—														
3. RSA—speech	.35**	.70**	—													
4. RSA—math	.44**	.76**	.82**	—												
5. RSA—recovery	.90**	.76**	.49**	.62**	—											
6. Baseline PEP	-.05	.17	.16	.17	.08	—										
7. PEP—preparation	.02	.31**	.39**	.34**	.14	.70**	—									
8. PEP—speech	-.04	.27**	.41**	.35**	.09	.68**	.94**	—								
9. PEP—math	-.08	.20*	.30**	.33**	.04	.73**	.91**	.94**	—							
10. PEP—recovery	-.08	.06	.19*	.10	-.05	.82**	.81**	.79**	.85**	—						
11. Child abuse	-.08	.10	.15	.17**	-.04	-.06	.06	.06	.07	.07	—					
12. Community violence	.06	.14	.10	.05	.09	-.01	.08	.02	.05	.02	.24**	—				
13. Other trauma	.03	.20*	.08	.02	.05	-.09	-.01	-.04	-.04	-.03	.57**	.61**	—			
14. Income-to-needs ratio	-.13	.02	-.04	-.09	-.17	-.08	-.08	-.08	-.05	.01	-.22**	-.38**	-.30**	—		
15. YSR internalizing	-.02	-.01	.11	.01	-.02	-.10	-.04	-.05	-.05	-.08	.39**	.29**	.43**	-.03	—	
16. CBCL internalizing	.08	-.08	-.05	-.11	.03	-.10	-.07	-.12	-.13	-.14	.10	-.02	.11	-.05	.36**	—
17. YSR externalizing	.06	.07	.08	.11	.12	-.01	.06	.05	.09	-.03	.39**	.44**	.50**	-.20*	.55**	.17*
18. CBCL externalizing	.06	.07	.01	.08	.11	-.07	-.09	-.12	-.14	-.17	.20*	.14	.21**	-.21*	.24**	.64**

YSR, Youth Self-Report; CBCL, Child Behavior Checklist.

\**p* < .05.  
 \*\**p* < .01.

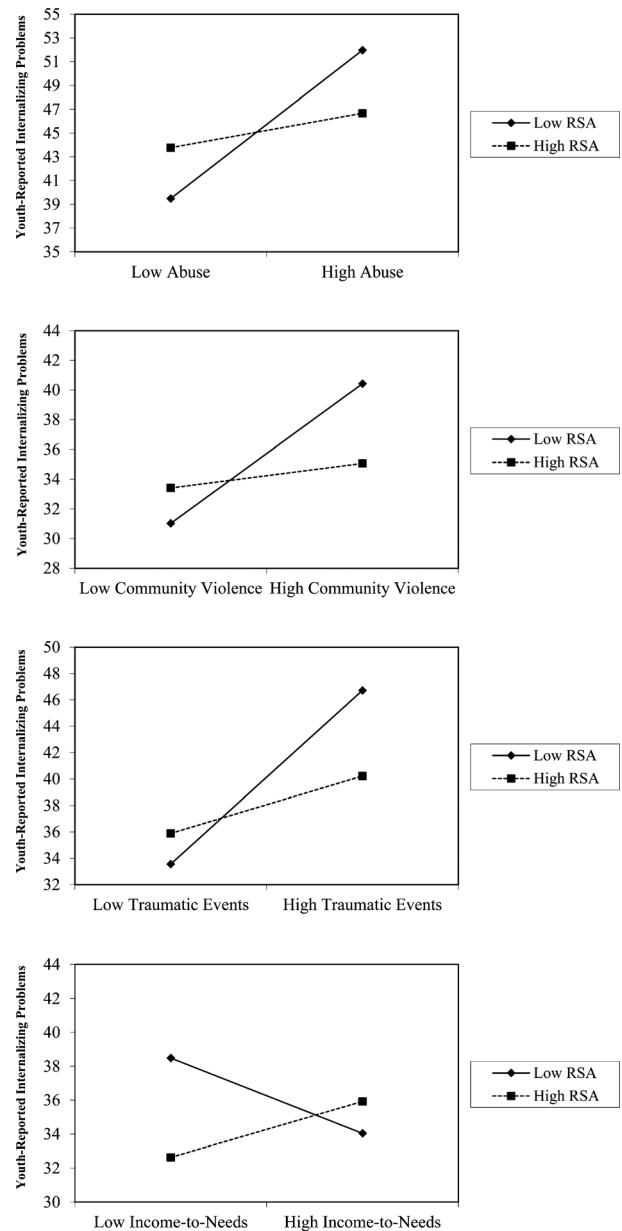
## Interactions Between Vagal Tone and CA

We next examined whether resting RSA moderated the association between CA exposure and adolescent symptomatology. We found significant interactions between resting RSA and all four domains of CA in predicting youth-reported internalizing problems (see Fig. 1). Resting RSA interacted with child abuse,  $\beta = -.19$ ,  $p = .015$ ; community violence exposure,  $\beta = -.20$ ,  $p = .012$ ; other traumatic events,  $\beta = -.22$ ,  $p = .003$ ; and income-to-needs ratio,  $\beta = .19$ ,  $p = .050$ , in predicting youth-reported internalizing problems.

For significant interactions, we evaluated the simple slope of the association between CA and youth-reported internalizing problems at high and low levels of resting RSA (i.e., 1 standard deviation above and below the mean). The positive association between child abuse and internalizing problems was significant for adolescents with low resting RSA,  $\beta = 6.24$ ,  $t(145) = 5.25$ ,  $p < .001$ , but not for adolescents with high resting RSA,  $\beta = 1.44$ ,  $t(145) = .03$ ,  $p = .31$ . A similar pattern was observed for community violence and other traumatic events. Community violence and internalizing problems were positively associated for adolescents with low resting RSA,  $\beta = 4.70$ ,  $t(144) = 4.44$ ,  $p < .001$ , but not high resting RSA,  $\beta = .82$ ,  $t(144) = .68$ ,  $p = .50$ . Similarly, the positive association between traumatic events and internalizing problems was stronger for adolescents with low resting RSA,  $\beta = 6.57$ ,  $t(145) = 6.60$ ,  $p < .001$ , than for those with high resting RSA,  $\beta = 2.17$ ,  $t(145) = 1.94$ ,  $p = .055$ . Finally, income-to-needs ratio was negatively related to internalizing problems among adolescents with low resting RSA,  $\beta = -3.19$ ,  $t(120) = -2.00$ ,  $p = .047$ , and was unrelated to internalizing problems among adolescents with high resting RSA,  $\beta = 2.61$ ,  $t(120) = 1.34$ ,  $p = .18$ .

Resting RSA interacted with two of the four domains of CA in predicting parent-reported internalizing psychopathology including child abuse,  $\beta = -.21$ ,  $p = .012$ , and other traumatic events,  $\beta = -.20$ ,  $p = .016$ . The pattern of these interactions mirrored those for youth-reported internalizing problems. The positive association between CA and parent-reported internalizing problems was significant for adolescents with low resting RSA,  $\beta = 3.72$ ,  $t(138) = 2.73$ ,  $p = .007$ , but not high resting RSA,  $\beta = -1.81$ ,  $t(138) = -1.13$ ,  $p = .26$ . Similarly, traumatic events were positively associated with parent-reported internalizing problems among adolescents with low resting RSA,  $\beta = 3.36$ ,  $t(138) = 2.81$ ,  $p = .006$ , but not high resting RSA,  $\beta = -.81$ ,  $t(138) = -.63$ ,  $p = .53$ .

Resting RSA did not moderate the association between CA and externalizing problems based on either youth or parent report.



**FIGURE 1** Interactions between resting RSA and childhood adversity in predicting youth-reported internalizing problems. Figures depict low RSA and childhood adversity as one standard deviation below the mean and high RSA and adversity as one standard deviation above the mean.

## Interactions Between Vagal Reactivity and CA

**Speech Preparation.** We next examined whether RSA reactivity (i.e., changes in RSA during the TSST relative to baseline) moderated the association between CA exposure and adolescent symptoms. We found interactions between RSA reactivity during the preparation period and two of the four domains of CA in

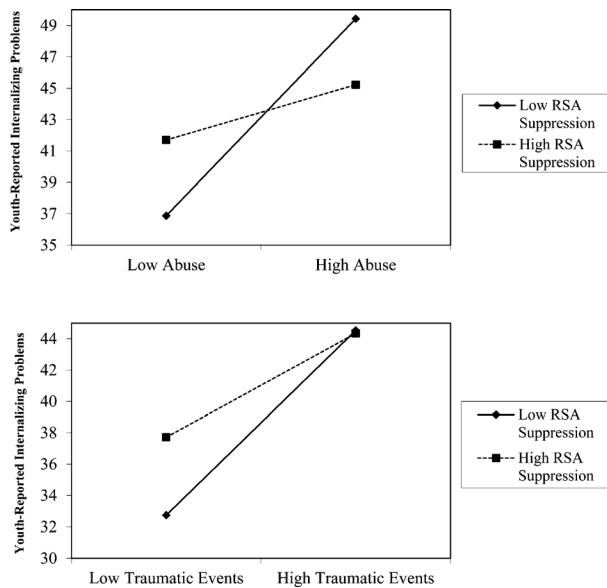
predicting youth-reported internalizing problems (see Fig. 2). RSA reactivity interacted marginally with child abuse,  $\beta = -.15$ ,  $p = .059$ , and significantly with other traumatic events,  $\beta = -.17$ ,  $p = .029$ , in predicting youth-reported internalizing problems. When we evaluated the simple slopes, the positive association between child abuse and internalizing problems was significant for adolescents with low RSA suppression,  $\beta = 6.28$ ,  $t(144) = 4.35$ ,  $p < .001$ , but not for adolescents with high RSA suppression,  $\beta = 1.76$ ,  $t(144) = 1.18$ ,  $p = .24$ . The positive association between traumatic events and internalizing problems was stronger for adolescents with low RSA suppression,  $\beta = 5.90$ ,  $t(144) = 6.12$ ,  $p < .001$ , than for adolescents with high RSA suppression,  $\beta = 3.32$ ,  $t(144) = 3.35$ ,  $p = .001$ .

RSA suppression during the preparation period interacted with child abuse,  $\beta = -.18$ ,  $p = .033$ , and marginally with other traumatic events,  $\beta = -.16$ ,  $p = .073$ , in predicting parent-reported internalizing psychopathology. The positive association between child abuse and parent-reported internalizing problems was significant for adolescents with low RSA suppression,  $\beta = 4.36$ ,  $t(137) = 2.56$ ,  $p = .012$ , but not high RSA suppression,  $\beta = -1.69$ ,  $t(137) = -.96$ ,  $p = .34$ . Similarly, traumatic events were positively associated with parent-reported internalizing problems among adolescents with low RSA suppression,  $\beta = 2.78$ ,

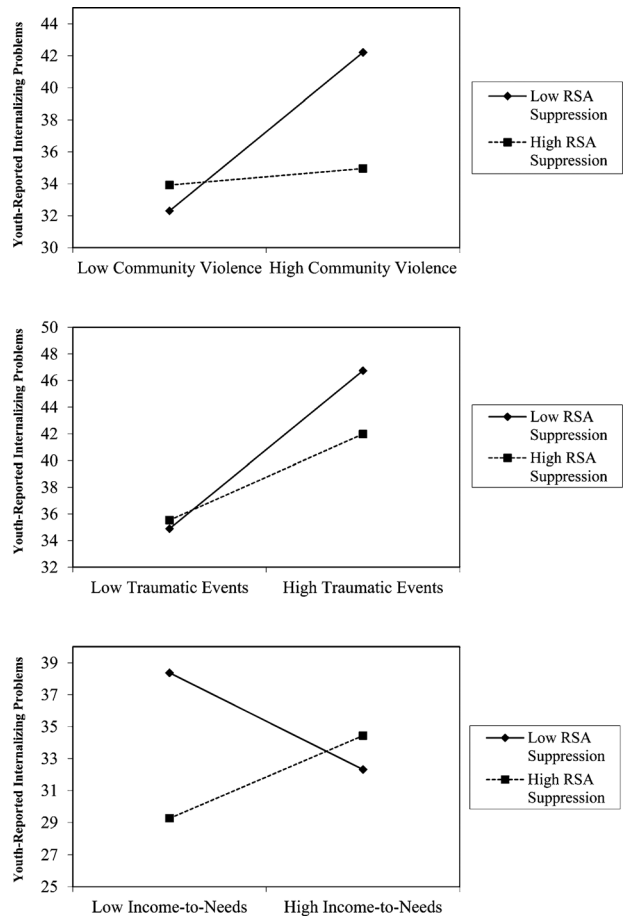
$t(137) = 2.46$ ,  $p = .015$ , but not high RSA suppression,  $\beta = .32$ ,  $t(137) = .28$ ,  $p = .78$ .

RSA suppression during the preparation period did not moderate the association between CA and externalizing problems based on either youth or parent report.

**Speech.** We next examined RSA suppression during the speech portion of the TSST. Interactions between RSA reactivity during the speech were observed for three of the four domains of CA in predicting youth-reported internalizing problems (see Fig. 3). RSA reactivity interacted with community violence exposure,  $\beta = -.19$ ,  $p = .030$ ; other traumatic events,  $\beta = -.15$ ,  $p = .042$ ; and income-to-needs ratio,  $\beta = .27$ ,  $p = .005$ , in predicting youth-reported internalizing problems. When we evaluated the simple slopes, the positive association between child abuse and internalizing problems was significant for adolescents with low



**FIGURE 2** Interactions between RSA suppression during the speech preparation and childhood adversity in predicting youth-reported internalizing problems. Figures depict low RSA suppression and childhood adversity as one standard deviation below the mean and high RSA suppression and adversity as one standard deviation above the mean.



**FIGURE 3** Interactions between RSA suppression during the speech and childhood adversity in predicting youth-reported internalizing problems. Figures depict low RSA suppression and childhood adversity as one standard deviation below the mean and high RSA suppression and adversity as one standard deviation above the mean.



RSA suppression,  $\beta = 4.95$ ,  $t(142) = 4.08$ ,  $p < .001$ , but not for adolescents with high RSA suppression,  $\beta = .52$ ,  $t(142) = .37$ ,  $p = .72$ . A similar pattern was observed for other traumatic events and income-to-needs ratio. The positive association between other traumatic events and youth-reported internalizing problems was larger for adolescents with low RSA suppression,  $\beta = 5.93$ ,  $t(142) = 5.97$ ,  $p < .001$ , than for adolescents with high RSA suppression,  $\beta = 3.23$ ,  $t(142) = 3.14$ ,  $p = .002$ . Finally, the negative association between income-to-needs ratio and internalizing problems was significant only among adolescents with low RSA suppression,  $\beta = -3.03$ ,  $t(142) = -2.41$ ,  $p = .017$ , and not among those with high RSA suppression,  $\beta = 2.59$ ,  $t(142) = 1.77$ ,  $p = .08$ .

One significant interaction was observed between child abuse and RSA suppression in predicting youth-reported externalizing problems,  $\beta = .24$ ,  $p = .004$ . The simple slope pattern did not follow those previously reported, however. Child abuse was more strongly associated with youth-reported externalizing problems among those with high RSA suppression,  $\beta = 8.00$ ,  $t(142) = 4.82$ ,  $p < .001$ , than those with low RSA suppression,  $\beta = 1.96$ ,  $t(142) = 1.91$ ,  $p = .058$ . Given the large number of models tested, however, it is likely that a single significant association in the opposite direction is the result of chance.

No interactions were observed between RSA suppression during the speech and CA in predicting parent-reported internalizing problems or externalizing problems.

### Interactions Between PEP and CA

To determine whether these buffering effects were specific to RSA, we tested a similar set of models examining resting PEP and PEP reactivity. Resting PEP did not interact with CA in any of these models to predict either youth- or parent-reported psychopathology, nor did PEP reactivity.

### Vagal Tone and Physiological Recovery From Psychosocial Stress

In a final set of analyses, we examined whether resting RSA was associated with physiological recovery following the TSST. Higher baseline RSA was associated with lower heart rate at baseline,  $\beta = -.64$ ,  $p < .001$ , but was not associated with heart rate reactivity during the preparation, speech, or math portions of the TSST. Resting RSA was related to heart rate recovery following the TSST, however. We examined the association between baseline RSA and heart rate during the recovery period in a model that controlled for heart rate during the last component of the TSST, the math task.

Individuals with higher baseline RSA experienced greater reductions in heart rate from the end of the TSST to the recovery period,  $\beta = -.39$ ,  $p < .001$ . Resting RSA was associated with heart rate recovery during each of the 5 min in the recovery period relative to the end of the TSST ( $\beta_s = -.37$  to  $-.42$ ,  $p < .001$ ).

To explore this relationship further, we examined the associations of resting RSA with PEP and RSA reactivity and recovery following the TSST. A more complicated pattern emerged from this analysis. Resting RSA was unrelated to PEP reactivity during the TSST, but was associated with PEP recovery after the task. Higher resting RSA was associated with lower PEP (i.e., greater sympathetic activation) during the recovery period (Table 3). This pattern was observed when examining the association of resting RSA with PEP values during the recovery period relative to baseline,  $\beta = -.19$ ,  $p = .051$ , and during minutes 1–4 of the recovery period relative to PEP values during the last component of the TSST ( $\beta_s = -.23$  to  $-.30$ ,  $p = .011-.051$ ).

A different pattern emerged when we examined resting RSA and RSA reactivity and recovery. First, adolescents with high resting RSA also exhibited greater RSA reactivity during all three components of the TSST ( $\beta_s = -.29$  to  $-.48$ ,  $p < .001$ ) (Table 4). Second, the association of resting RSA with RSA recovery varied depending on whether the comparison was RSA at baseline or RSA during the TSST. Specifically, high resting RSA was associated with greater RSA rebound during the recovery period relative to the end of the TSST; this was observed for 4 of the 5 min of the recovery period ( $\beta_s = .21-.25$ ,  $p = .006-.018$ ). This suggests that RSA rebound following the TSST occurred more quickly for adolescents with high resting RSA. However, when we examined RSA during the recovery period relative to baseline values, the opposite pattern was observed. Specifically, average RSA during the recovery period relative to baseline was lower for adolescents with high resting RSA compared with adolescents with low resting RSA. However, this pattern likely reflects the fact that adolescents with high resting RSA also exhibited greater vagal suppression during the TSST, and thus were starting the recovery period at a much lower point compared with baseline than adolescents with low resting RSA.

## DISCUSSION

Exposure to CA is remarkably common, with population-based studies estimating that nearly half of US adolescents and adults have experienced at least one

form of such adversity in childhood or adolescence (Green et al., 2010; Kessler et al., 1997; McLaughlin et al., 2012). CA is associated strongly with the onset of mental disorders and is responsible for a substantial proportion of psychiatric morbidity in the population (Green et al., 2010; McLaughlin et al., 2010a, 2010b, 2012). Identifying factors that reduce vulnerability to psychopathology following CA exposure is critical in order to identify youths most likely to develop mental health problems following experiences of environmental adversity and to develop effective preventive intervention strategies. We provide novel evidence indicating that multiple aspects of vagal regulation, including both resting vagal tone and vagal suppression during a psychosocial stressor, are associated with low levels of internalizing symptoms among youths exposed to CA. Specifically, we found that CA was associated strongly with internalizing symptoms among adolescents with low vagal tone and low vagal reactivity but not among those with high vagal tone and reactivity. This pattern was observed across numerous types of environmental adversity including child abuse, community violence exposure, traumatic events, and low SES. No evidence was found for a buffering role of sympathetic nervous system activity, either at rest or in response to a psychosocial stressor.

High vagal tone and vagal suppression have been found to protect against the development of internalizing and externalizing problems among children living in families with high levels of marital conflict (El-Sheikh & Erath, 2011; El-Sheikh et al., 2001; El-Sheikh & Whitson, 2006; Katz & Gottman, 1995, 1997). We extended this literature in several ways. First, we documented that resting vagal tone and vagal regulation in response to a psychosocial stressor buffered against internalizing symptoms following exposure to multiple forms of CA. Indeed, we examined a diverse range of adverse childhood experiences in this study, ranging from maltreatment and traumatic events involving serious threat of harm to markers of resource deprivation associated with poverty. Interactions between resting vagal tone and vagal reactivity were observed between each of these forms of CA in predicting adolescent internalizing symptoms, suggesting that vagal regulation might serve a generalized protective function for youths living in a wide range of adverse environments. This pattern can be understood within the context of the polyvagal theory. Specifically, this theory argues that the myelinated vagal brake has evolved to facilitate rapid regulation of the heart in response to environmental demands (Porges, 2001, 2007). Rapid vagal regulation facilitates attentional deployment and the use of appropriate social and communicative behaviors in response to changes in the

environment. High levels of vagal regulation, both at rest and in response to stress, may confer protection against internalizing problems through several mechanisms. High vagal tone may *prepare* youths to respond appropriately to environmental adversity through downstream influences on attention and social behavior (El-Sheikh & Erath, 2011). In situations of relative safety, the vagal brake inhibits both the sympathetic nervous system and the HPA axis and promotes social engagement behaviors through inter-connections with the striated muscles that regulate facial expression and eye gaze (Porges, 1997, 2001). High resting vagal tone may thus promote positive social behavior and communication, which in turn facilitates better adaptation in situations of stress and adversity. In addition, vagal reactivity may influence *sensitivity* to stressors and psychological responses to CA (El-Sheikh & Erath, 2011). During a stressor, vagal withdrawal permits rapid mobilization of metabolic resources in response to environmental threats through parasympathetic disengagement (Porges, 1995a, 2007; Porges et al., 1996). High vagal reactivity is argued to not only facilitate active coping in response to stress but also to promote rapid recovery and reductions in arousal when the stressor has ended (Porges, 1995a). Together, high vagal tone and vagal reactivity act in concert to promote effective attentional, emotional, and behavioral regulation in response to stress (Appelhans & Luecken, 2006; Calkins et al., 2007; Calkins & Keane, 2004; Fabes et al., 1993, 1994; Suess et al., 1994), which may ultimately confer protection against the development of internalizing psychopathology.

Second, we found that only vagal regulation, and not sympathetic tone or sympathetic nervous system reactivity, served to buffer youths from internalizing symptoms following exposure to high levels of CA. In other words, this relationship was specific to vagal regulation rather than being a function of high levels of ANS reactivity more broadly. These results are at least partially consistent with theoretical conceptualizations of the specialization of each branch of the ANS, with emotional and attentional regulation functions subsumed within the parasympathetic branch and motivational functions related to approach and withdrawal behaviors governed by the sympathetic branch (Beauchaine, 2001). Our findings suggest that it is the regulatory functions of the parasympathetic branch that confer protection against internalizing problems in the context of environmental adversity. However, two previous studies of younger children found that marital conflict was associated with lower levels of externalizing symptoms among children with low sympathetic reactivity (El-Sheikh, 2005; Obradovic et al., 2011), highlighting the importance of replicating our findings

**Table 3. Preejection Period Reactivity During the Trier Social Stress Test (TSST) and Recovery Period According to Resting Baseline RSA ( $N = 157$ )**

	High RSA <sup>a</sup>		Low RSA <sup>a</sup>		RSA and PEP Reactivity <sup>b</sup>	
	Mean	SD	Mean	SD	$\beta$	$p$ -Value
Preparation reactivity <sup>c</sup>	-11.86	9.53	-15.70	13.43	.12	.162
Speech reactivity <sup>c</sup>	-17.40	12.01	-17.31	14.71	.05	.545
Math reactivity <sup>c</sup>	-12.94	11.39	-13.82	11.64	-.08	.365
Recovery <sup>c</sup>	.90	4.07	1.85	8.64	-.19	.051
Minute 1 <sup>d</sup>	8.99	8.83	12.45	13.90	-.23	.051
Minute 2 <sup>d</sup>	10.67	9.04	14.20	12.63	-.30*	.011
Minute 3 <sup>d</sup>	10.91	8.17	13.15	13.48	-.29*	.013
Minute 4 <sup>d</sup>	10.09	9.30	13.25	14.21	-.27*	.020
Minute 5 <sup>d</sup>	9.81	8.49	12.05	10.28	-.14	.243

PEP, preejection period; RSA, respiratory sinus arrhythmia.

\* $p < .05$ , two-sided test.

<sup>a</sup>High RSA defined as  $\geq 1$  standard deviation above the mean; low RSA defined as  $\leq 1$  standard deviation below the mean.

<sup>b</sup>Association of baseline-resting RSA with PEP reactivity (i.e., PEP during the task minus PEP at baseline) during each portion of the TSST and recovery period.

<sup>c</sup>Values represent PEP relative to baseline value; regression controls for PEP at baseline.

<sup>d</sup>Values represent PEP relative to the last minute of the TSST; regression controls for PEP during the last minute of the TSST.

in future studies of younger children. It is also important to note that previous studies in younger children have found more complex interactions between marital conflict and reactivity in both branches of the ANS (El-Sheikh et al., 2009), using skin conductance to measure sympathetic reactivity. These findings point to the importance of examining higher-level interactions of CA with both sympathetic and parasympathetic functions in future studies of adolescents.

In addition, we also observed specificity with regard to the type of psychopathology that was predicted by the interplay of vagal regulation and CA. This relationship was associated with reductions in internalizing, but not externalizing, symptomatology. These findings were not expected, as previous research has found that vagal regulation predicts lower levels of externalizing psychopathology among children living in families with high levels of marital conflict (El-Sheikh et al., 2001; Katz & Gottman, 1995, 1997), and vagal

**Table 4. RSA Reactivity During the Trier Social Stress Test (TSST) and Recovery Period According to Resting Baseline RSA ( $N = 157$ )**

	High RSA <sup>a</sup>		Low RSA <sup>a</sup>		RSA and RSA Reactivity <sup>b</sup>	
	Mean	SD	Mean	SD	$\beta$	$p$ -Value
Preparation reactivity <sup>c</sup>	-.67	.99	.45	1.01	-.29*	<.001
Speech reactivity <sup>c</sup>	-1.32	1.47	.98	.87	-.48*	<.001
Math reactivity <sup>c</sup>	-1.02	1.53	.86	.92	-.43*	<.001
Recovery <sup>c</sup>	-.23	.39	.18	.53	-.21*	.026
Minute 1 <sup>d</sup>	.80	1.51	-.45	1.22	.25*	.006
Minute 2 <sup>d</sup>	.54	1.40	-.66	1.39	.21*	.018
Minute 3 <sup>d</sup>	.35	1.38	-.56	1.24	.13	.155
Minute 4 <sup>d</sup>	.38	1.44	-.74	1.29	.22*	.014
Minute 5 <sup>d</sup>	.52	1.65	-.82	1.38	.22*	.012

RSA, respiratory sinus arrhythmia.

\* $p < .05$ , two-sided test.

<sup>a</sup>High RSA defined as  $\geq 1$  standard deviation above the mean; low RSA defined as  $\leq 1$  standard deviation below the mean.

<sup>b</sup>Association of baseline-resting RSA with RSA reactivity (i.e., RSA during the task minus RSA at baseline) during each portion of the TSST and recovery period.

<sup>c</sup>Values represent RSA relative to baseline value; regression controls for RSA at baseline.

<sup>d</sup>Values represent RSA relative to the last minute of the TSST; regression controls for PEP during the last minute of the TSST.

tone has been inversely associated with externalizing psychopathology in numerous prior studies of children and adolescents (Calkins et al., 2007; Calkins & Keane, 2004; Mezzacappa et al., 1997; Pine et al., 1998), including a recent meta-analysis (Graziano & Derefinko, 2013). The specificity with regard to internalizing psychopathology suggests the possibility of developmental variation in the outcomes predicted by the interplay of vagal regulation and CA, as the current study focused on adolescents and previous studies observing a moderating effect on externalizing psychopathology were based on samples of younger children. Future research is needed to determine whether the specificity with regard to internalizing problems is replicated in other samples of adolescents.

Finally, we provide preliminary evidence for a potential physiological mechanism explaining the buffering role of high vagal tone and vagal regulation following CA exposure. The parasympathetic nervous system promotes physiological recovery following stressors through antagonistic influences on sympathetic arousal (Beauchaine, 2001; Berntson et al., 1997; Porges, 1992, 1995a). Adaptive physiological recovery following psychosocial stressors is one potential mechanism through which high vagal tone might be associated with lower internalizing symptoms among youths exposed to CA. Our findings provide partial support for this explanation. Although resting vagal tone was unrelated to heart rate reactivity to a social/evaluative stressor, adolescents with high vagal tone exhibited greater heart rate deceleration in the immediate aftermath of the stressor. This greater reduction in heart rate was observable not only during the early stages of recovery, but was maintained across a 5-min recovery period. When we decomposed this effect to examine the contribution of sympathetic and parasympathetic influences on heart rate deceleration, the findings indicated that parasympathetic rebound was driving this effect more strongly than sympathetic withdrawal. In fact, although adolescents exhibited higher PEP—suggesting lower sympathetic activation—during the recovery period compared with baseline and to levels during the TSST, this rebound effect was stronger in adolescents with *low* resting vagal tone. In contrast, RSA rebound during the recovery period relative to the end of the stressor was larger among adolescents with *high* vagal tone. This complex pattern of recovery across the sympathetic and parasympathetic branches suggests that although adolescents with high vagal tone experience rapid vagal rebound following a stressor, inhibition of sympathetic activation occurs more slowly over time. This pattern is consistent with assertions of the polyvagal theory that the actions of the vagus nerve mediate rapid changes in heart rate in

response to environmental demands (Porges, 2007). The functional implications of this pattern remain to be determined, however. The overall heart rate findings are consistent with a prior report documenting faster heart rate adaptation to psychosocial stress among adults with high RSA (Lane et al., 1992) as well as findings that high RSA is associated with accelerated heart rate adaptation following trauma reminders among adults with post-traumatic stress disorder (PTSD) (Sack, Hopper, & Lamprecht, 2004). Although we examined physiological recovery only as a function of resting vagal tone and not vagal regulation, high resting vagal tone was associated with enhanced vagal suppression during a social/evaluative stressor in the current study, suggesting overlap in these dimensions of adaptive vagal regulation. Greater vagal rebound following stress exposure therefore might be one explanation for reduced levels of internalizing symptoms following exposure to CA among youths with high vagal tone. Identifying additional mechanisms that explain this pattern is an important goal for future research.

Vagal tone and regulation are potentially promising markers of reduced vulnerability to the negative mental health consequences of exposure to CA. Identifying neurobiological markers of vulnerability provides the advantage of not having to rely on self-reported information about emotion, personality, and other dispositional characteristics, for which self-awareness might be poor and reporting biases are prominent (Robinson & Clore, 2002; Thomas & Deiner, 1990). Interventions designed to increase vagal tone and regulation might reduce stress sensitivity and vulnerability to internalizing psychopathology among youths experiencing high degrees of CA. Vagal tone therefore represents a potentially useful target for intervention. Studies with adults suggest that relaxation training and mindfulness are associated with increased vagal tone (Ditto, Eclache, & Goldman, 2006; Sarang & Telles, 2006; Wu & Lo, 2008). Determining whether these intervention strategies lead to improvements in vagal tone among youths is an important goal for future research. Finally, vagal tone has potential utility as a process measure of improvement during the course of intervention. Because of the ease with which it is acquired, vagal tone can feasibly be collected at multiple points during an intervention to determine whether changes in vagal tone are a mechanism underlying ameliorative effects on psychopathology.

Study findings should be interpreted in light of several limitations. The most notable limitation is our use of cross-sectional data that does not allow us to establish the temporal ordering of CA exposure and psychopathology. As a result, it is possible that internalizing symptoms in some youths predated the occurrence of CA

experiences. Replication of these findings in prospective studies is therefore warranted. In addition, the interactions of vagal tone and reactivity with CA in predicting internalizing problems were stronger for youth-reported as compared with parent-reported symptoms. This finding is not surprising, however, as information provided by parents regarding internalizing problem in their children is only weakly related to information provided directly by youths (Achenbach, McConaughy, & Howell, 1987; Cantwell et al., 1997). Indeed, adolescents are considered to be more valid reporters of internalizing problems than their parents (Cantwell et al., 1997). In our data, the robust and frequently documented association between CA and internalizing problems was not observed for parent-reported symptoms, which lends greater credibility to the pattern observed for youth-reported internalizing problems. Finally, symptoms of psychopathology were assessed using the CBCL scales rather than a diagnostic interview. Determining whether the patterns of interaction between CA and both vagal tone and vagal regulation in predicting internalizing symptoms observed here are replicated in studies that measure internalizing disorders is another important goal for future research.

The relationship between exposure to CA and internalizing problems is attenuated in adolescents with high resting vagal tone and high vagal reactivity to a social/evaluative stressor, indicating that vagal regulation might be a promising a neurobiological marker of vulnerability to internalizing psychopathology following exposure to CA. Interventions that improve vagal tone and regulation among children and adolescents at high risk of exposure to adversity might confer protection against the deleterious mental health consequences of these experiences.

## NOTES

This research was funded by the National Institutes of Mental Health (K01-MH092625 to McLaughlin and K01-MH092555 to Sheridan).

## REFERENCES

- Achenbach, T. M. (1991). Integrative guide for the 1991 CBCL/4-18, YSR and TRF Profiles. Burlington, VT: Department of Psychiatry, University of Vermont.
- Achenbach, T. M., & Rescorla, L. (2001). *The Manual for the ASEBA School-Age Forms and Profiles*. Burlington, VT: University of Vermont, Research Center for Children, Youth, and Families.
- Achenbach, T. M., McConaughy, S. H., & Howell, C. T. (1987). Child/adolescent behavioral and emotional problems: Implications of cross informant correlations for situational specificity. *Psychological Bulletin*, 101, 213–232.
- Aiken, L. S., & West, S. G. (1991). *Multiple regression: Testing and interpreting interactions*. Newbury Park, London: Sage.
- Allen, J. B., Chambers, A. S., & Towers, D. N. (2007). The many metrics of cardiac chronotropy: A pragmatic primer and a brief comparison of metrics. *Biological Psychology*, 74, 243–262.
- Appelhans, B. M., & Luecken, L. J. (2006). Heart rate variability as an index of regulated emotional responding. *Review of General Psychology*, 10, 229–240.
- Beauchaine, T. P. (2001). Vagal tone, development, and Gray's motivational theory: Toward an integrated model of autonomic nervous system functioning in psychopathology. *Development and Psychopathology*, 13, 183–214.
- Beauchaine, T. P., Hong, J., & Marsh, P. (2008). Sex differences in autonomic correlates of conduct problems and aggression. *Journal of the American Academy of Child & Adolescent Psychiatry*, 47, 788–796.
- Bernstein, D. P., Ahluvalia, T., Pogge, D., & Handelsman, L. (1997). Validity of the Childhood Trauma Questionnaire in an adolescent psychiatric population. *Journal of the American Academy of Child & Adolescent Psychiatry*, 36, 340–348.
- Bernstein, D. P., Fink, L., Handelsman, L., Foote, J., & Lovejoy, M. (1994). Initial reliability and validity of a new retrospective measure of child abuse and neglect. *American Journal of Psychiatry*, 151, 1132–1136.
- Bernstein, D. P., Stein, J. A., Newcomb, M. D., Walker, E., Pogge, D., Ahluvalia, T., ..., Zule, W. (2003). Development and validation of a brief screening version of the Childhood Trauma Questionnaire. *Child Abuse and Neglect*, 27, 169–190.
- Berntson, G. G., Bigger, J. T., Jr., Eckberg, D. L., Grossman, P., Kaufmann, P. G., Malik, M., ..., van der Molen, M. W. (1997). Heart rate variability: Origins, methods, and interpretive caveats. *Psychophysiology*, 34, 623–648.
- Berntson, G. G., Cacioppo, J. T., & Quigley, K. S. (1991). Autonomic determinism: The modes of autonomic control, the doctrine of autonomic space, and the laws of autonomic constraint. *Psychological Review*, 98, 459–487.
- Berntson, G. G., Cacioppo, J. T., & Quigley, K. S. (1993a). Cardiac psychophysiology and autonomic space in humans: Empirical perspectives and conceptual implications. *Psychological Bulletin*, 114, 296–322.
- Berntson, G. G., Cacioppo, J. T., & Quigley, K. S. (1993b). Respiratory sinus arrhythmia: Autonomic origins, physiological mechanisms, and psychophysiological implications. *Psychophysiology*, 30, 183–196.
- Berntson, G. G., Cacioppo, J. T., Quigley, K. S., & Fabro, V. T. (1994). Autonomic space and physiological response. *Psychophysiology*, 31, 44–61.
- Blascovich, J., Mendes, W. B., Vanman, E., & Dickerson, S. (2011). *Social psychophysiology for social and personality psychology*. Los Angeles, CA: Sage.
- Buske-Kirschbaum, A., Jobst, S., Psych, D., Wustmans, A., Kirschbaum, C., & Rauh, W. (1997). Attenuated free cortisol response to psychosocial stress in children with atopic dermatitis. *Psychosomatic Medicine*, 59, 419–426.

- Buske-Kirschbaum, A., von Auer, K., Krieger, S., Weis, S., Rauh, W., & Hellhammer, D. (2003). Blunted cortisol responses to psychosocial stress in asthmatic children: A general feature of atopic disease? *Psychosomatic Medicine*, 64, 806–810.
- Cacioppa, J. T., Berntson, G. G., Malarkey, W. B., Kiecolt-Glaser, J. K., Sheridan, J. F., Poehlmann, K. M., ..., Glaser, R. (1998). Autonomic, neuroendocrine, and immune responses to psychological stress: The reactivity hypothesis. *Annals of the New York Academy of Sciences*, 840, 664–673.
- Cacioppo, J. T., Berntson, G. G., Binkley, P. F., Quigley, K. S., Uchino, B. N., & Fieldstone, A. (1994). Autonomic cardiac control. II. Noninvasive indices and basal response as revealed by autonomic blockades. *Psychophysiology*, 31, 586–598.
- Calkins, S. D., Graziano, P. A., & Keane, S. P. (2007). Cardiac vagal regulation differentiates among children at risk for behavioral problems. *Biological Psychology*, 74, 144–153.
- Calkins, S. D., & Keane, S. P. (2004). Cardiac vagal regulation across the preschool period: Stability, continuity, and implications for childhood adjustment. *Developmental Psychobiology*, 45, 101–112.
- Cantwell, D., Lewinsohn, P. M., Rohde, P., & Seeley, J. R. (1997). Correspondence between adolescent report and parent report of psychiatric diagnostic data. *Journal of the American Academy of Child & Adolescent Psychiatry*, 36, 610–619.
- Chen, W., Faraone, S. V., Biederman, J., & Tsuang, M. T. (1994). Diagnostic accuracy of the Children Behavior Checklist scales for attention-deficit/hyperactivity disorder. *Journal of Consulting and Clinical Psychology*, 62, 1017–1025.
- Cohen, P., Brown, J., & Smailes, E. (2001). Child abuse and neglect and the development of mental disorders in the general population. *Development and Psychopathology*, 13, 981–999.
- Cole, P. M., Zahn-Waxler, C., Fox, N. A., Usher, B. A., & Welsh, J. D. (1996). Individual differences in emotion regulation and behavior problems in preschool children. *Journal of Abnormal Psychology*, 105, 518–529.
- Crowell, S. E., Beauchaine, T. P., Gatzke-Kopp, L., Sylvers, P., Mead, H. K., & Chipman-Chacon, J. (2006). Autonomic correlates of attention-deficit/hyperactivity disorder and oppositional defiant disorder in preschool children. *Journal of Abnormal Psychology*, 115, 174–178.
- Ditto, B., Eclache, M., & Goldman, N. (2006). Short-term autonomic and cardiovascular effects of mindfulness body scan meditation. *Annals of Behavioral Medicine*, 32, 227–234.
- Eisenberg, N., Fabes, R. A., Murphy, B. C., Maszk, P., Smith, M., & Karbon, M. (1995). The role of emotionality and regulation in children's social functioning: A longitudinal study. *Child Development*, 66, 1360–1384.
- El-Sheikh, M. (2005). The role of emotional responses and physiological reactivity in the marital conflict-child functioning link. *Journal of Child Psychology and Psychiatry*, 46, 1191–1199.
- El-Sheikh, M., & Erath, S. A. (2011). Family conflict, autonomic nervous system functioning, and child adaptation: State of the science and future directions. *Development and Psychopathology*, 23, 703–721.
- El-Sheikh, M., Harger, J., & Whitson, S. M. (2001). Exposure to interparental conflict and children's adjustment and physical health: The moderating role of vagal tone. *Child Development*, 72, 1617–1636.
- El-Sheikh, M., Kouros, C. D., Erath, S., Cummings, E. M., Keller, P., & Staton, L. (2009). Marital conflict and children's externalizing behavior: Interactions between parasympathetic and sympathetic nervous system activity. *Monographs for the Society for Research in Child Development*, 74(1), 1–79.
- El-Sheikh, M., & Whitson, S. A. (2006). Longitudinal relations between marital conflict and child adjustment: Vagal regulation as a protective factor. *Journal of Family Psychology*, 20, 30–39.
- Fabes, R. A., Eisenberg, N., & Eisenbud, L. (1993). Behavioral and physiological correlates of children's reactions to others in distress. *Developmental Psychology*, 29, 655–663.
- Fabes, R. A., Eisenberg, N., Karbon, M., Troyer, D., & Switzer, G. (1994). The relations of children's emotion regulation to their vicarious emotional responses and comforting behaviors. *Child Development*, 65, 1678–1693.
- Forbes, E. E., Fox, N. A., Cohn, J. F., Galles, S. F., & Kovacs, M. (2006). Children's affect regulation during a disappointment: Psychophysiological responses and relation to parent history of depression. *Biological Psychology*, 71, 264–277.
- Gordis, E. B., Feres, N., Olezeski, C. L., Rabkin, A. N., & Trickett, P. K. (2010). Skin conductance reactivity and respiratory sinus arrhythmia among maltreated and comparison youth: Relations with aggressive behavior. *Journal of Pediatric Psychology*, 35, 547–558.
- Graziano, P. A., & Derefinko, K. (2013). Cardiac vagal control and children's adaptive functioning: A meta-analysis. *Biological Psychology*, 94, 22–37.
- Green, J. G., McLaughlin, K. A., Berglund, P., Gruber, M. J., Sampson, N. A., Zaslavsky, A. M., & Kessler, R. C. (2010). Childhood adversities and adult psychopathology in the National Comorbidity Survey Replication (NCS-R) I: Associations with first onset of DSM-IV disorders. *Archives of General Psychiatry*, 62, 113–123.
- Grossman, P., Karemaker, J., & Wieling, W. (1991). Prediction of tonic parasympathetic cardiac control using respiratory sinus arrhythmia: The need for respiratory control. *Psychophysiology*, 28, 201–216.
- Grossman, P., Stemmler, G., & Meinhardt, E. (1990). Paced respiratory sinus arrhythmia as an index of cardiac parasympathetic tone during varying behavioral tasks. *Psychophysiology*, 27, 404–416.
- Grossman, P., & Taylor, E. W. (2007). Toward understanding respiratory sinus arrhythmia: Relations to cardiac vagal

- tone, evolution and biobehavioral functions. *Biological Psychology*, 74, 263–285.
- Hastings, T. L., & Kelley, M. L. (1997). Development and validation of the Screen for Adolescent Violence Exposure (SAVE). *Journal of Abnormal Child Psychology*, 25, 511–520.
- Jamieson, J. P., Mendes, W. B., Blackstock, E., & Schmader, T. (2010). Turning the knots in your stomach into bows: Reappraising arousal improves performance on the GRE. *Journal of Experimental Social Psychology*, 46, 208–212.
- Katz, L. F., & Gottman, J. M. (1995). Vagal tone protects children from marital conflict. *Development and Psychopathology*, 7, 83–92.
- Katz, L. F., & Gottman, J. M. (1997). Buffering children from marital conflict and dissolution. *Journal of Clinical Child Psychology*, 26, 157–171.
- Kendall, P. C., Puliatico, A. C., Barmish, A. J., Choudhury, M. S., Henin, A., & Treadwell, K. S. (2007). Assessing anxiety with the Child Behavior Checklist and the Teacher Report Form. *Journal of Anxiety Disorders*, 21, 1004–1015.
- Kessler, R. C., Davis, C. G., & Kendler, K. S. (1997). Childhood adversity and adult psychiatric disorder in the US National Comorbidity Survey. *Psychological Medicine*, 27, 1101–1119.
- Kessler, R. C., & Üstun, T. B. (2004). The World Mental Health (WHM) survey initiative version of the World Health Organization (WHO) Composite International Diagnostic Interview (CIDI). *International Journal of Methods in Psychiatric Research*, 13, 93–121.
- Kirschbaum, C., Pirke, K.-M., & Hellhammer, D. (1993). The 'Trier Social Stress Test'—A tool for investigating psychobiological stress responses in a laboratory setting. *Neuropsychobiology*, 28, 76–81.
- Kollai, M., & Mizsei, G. (1990). Respiratory sinus arrhythmia is a limited measure of cardiac parasympathetic control in man. *Journal of Physiology*, 424, 329–342.
- Lane, J. D., Adcock, R. A., & Burnett, R. E. (1992). Respiratory sinus arrhythmia and cardiovascular responses to stress. *Psychophysiology*, 29, 461–470.
- Leary, A., & Katz, L. F. (1997). Coparenting, family-level processes, and peer outcomes: The moderating role of vagal tone. *Development and Psychopathology*, 16, 593–608.
- Lorber, M. F. (2004). Psychophysiology of aggression, psychopathy, and conduct problems: A meta-analysis. *Psychological Bulletin*, 130, 531–552.
- Lucini, D., Di Fede, G., Parati, G., & Pagani, M. (2005). Impact of chronic psychosocial stress on autonomic cardiovascular regulation in otherwise healthy subjects. *Hypertension*, 46, 1201–1206.
- Lucini, D., Norbiato, G., Clerici, M., & Pagani, M. (2002). Hemodynamic and autonomic adjustments to real life stress conditions in humans. *Hypertension*, 39, 184–188.
- MacMillan, H. L., Georgiades, K., Duku, E. K., Shea, A., Steiner, M., Niec, A., ..., Schmidt, L. A. (2009). Cortisol response to stress in female youths exposed to childhood maltreatment: Results of the youth mood project. *Biological Psychiatry*, 66, 62–68.
- McCabe, P. M., Yongue, B. G., Ackles, P. K., & Porges, S. W. (1985). Changes in heart period, heart-period variability, and a spectral analysis estimate of respiratory sinus arrhythmia in response to pharmacological manipulations of the baroreceptor reflex in cats. *Psychophysiology*, 22, 195–203.
- McLaughlin, K. A., Green, J. G., Gruber, M. J., Sampson, N. A., Zaslavsky, A., & Kessler, R. C. (2010a). Childhood adversities and adult psychopathology in the National Comorbidity Survey Replication (NCS-R): II. Associations with persistence of DSM-IV disorders. *Archives of General Psychiatry*, 62, 124–132.
- McLaughlin, K. A., Green, J. G., Gruber, M. J., Sampson, N. A., Zaslavsky, A., & Kessler, R. C. (2010b). Childhood adversities and adult psychopathology in the National Comorbidity Survey Replication (NCS-R): III. Associations with severity of DSM-IV disorders. *Psychological Medicine*, 40, 847–859.
- McLaughlin, K. A., Green, J. G., Gruber, M. J., Sampson, N. A., Zaslavsky, A., & Kessler, R. C. (2012). Childhood adversities and first onset of psychiatric disorders in a national sample of adolescents. *Archives of General Psychiatry*, 69, 1151–1160.
- Mezzacappa, E., Tremblay, R. W., Kindlon, D., Saul, J. P., Arseneault, L., Seguin, J., ..., Earls, F. (1997). Anxiety, antisocial behavior, and heart rate regulation in adolescent males. *Journal of Child Psychology and Psychiatry*, 38, 457–469.
- Obradovic, J., Bush, N. R., & Boyce, W. T. (2011). The interactive effect of marital conflict and stress reactivity on externalizing and internalizing symptoms: The role of laboratory stressors. *Development and Psychopathology*, 23, 101–114.
- Pagani, M., Lombardi, F., Guzzetti, S., Rimoldi, O., Furlan, R., Pizzinelli, P., ..., Malliani, A. (1986). Power spectral analysis of heart rate and arterial pressure variabilities as a marker of sympatho-vagal interaction in man and conscious dog. *Circulation Research*, 59, 178–193.
- Pine, D. S., Wasserman, M. S., Miller, L., Coplan, J. D., Bagiella, E., Kovenku, P., ..., Sloan, R. P. (1998). Heart period variability and psychopathology in urban boys at risk for delinquency. *Psychophysiology*, 35, 521–529.
- Porges, S. W. (1992). Vagal tone: A physiologic marker of stress vulnerability. *Pediatrics*, 90, 498–504.
- Porges, S. W. (1995a). Cardiac vagal tone: A physiological index of stress. *Neuroscience and Biobehavioral Reviews*, 19, 225–233.
- Porges, S. W. (1995b). Orienting in a defensive world: Mammalian modifications of our evolutionary heritage. A polyvagal theory. *Psychophysiology*, 32, 301–318.
- Porges, S. W. (1997). Emotion: An evolutionary by-product of the neural regulation of the autonomic nervous system. *Annals of the New York Academy of Sciences*, 807, 62–77.

- Porges, S. W. (2001). The polyvagal theory: Phylogenetic substrates of a social nervous system. *International Journal of Psychophysiology*, 42, 123–146.
- Porges, S. W. (2007). The polyvagal perspective. *Biological Psychology*, 74, 116–143.
- Porges, S. W., Doussard-Roosevelt, J. A., Portales, A. L., & Greenspan, S. I. (1996). Infant regulation of the vagal “brake” predicts child behavior problems: A psychobiological model of social behavior. *Developmental Psychobiology*, 29, 697–712.
- Robinson, M. D., & Clore, G. L. (2002). Belief and feeling: Evidence for an accessibility model of emotional self-report. *Psychological Bulletin*, 128, 934–960.
- Sack, M., Hopper, J. W., & Lamprecht, F. (2004). Low respiratory sinus arrhythmia and prolonged psychophysiological arousal in posttraumatic stress disorder: Heart rate dynamics and individual differences in arousal regulation. *Biological Psychiatry*, 55, 284–290.
- Sarang, P., & Telles, S. (2006). Effects of two yoga based relaxation techniques on heart rate variability (HRV). *International Journal of Stress Management*, 13, 460–475.
- Scott, K. M., McLaughlin, K. A., Smith, D. A. R., & Ellis, P. M. (2012). Childhood maltreatment and DSM-IV adult mental disorders: Comparison of prospective and retrospective findings. *British Journal of Psychiatry*, 200, 469–475.
- Scott, K. M., Smith, D. R., & Ellis, P. M. (2010). Prospectively ascertained childhood maltreatment and its associations with DSM-IV mental disorders in young adults. *Archives of General Psychiatry*, 67, 712–719.
- Seligman, L., Ollendick, T., Langley, A. K., & Baldacci, B. (2004). The utility of measures of child and adolescent anxiety: A meta-analytic review of the Revised Children’s Manifest Anxiety Scale, the State-Trait Anxiety Inventory for Children, and the Child Behavior Checklist. *Journal of Clinical Child and Adolescent Psychology*, 33, 557–565.
- Shannon, K. E., Beauchaine, T. P., Brenner, S. L., Neuhaus, E., & Gatzke-Kopp, L. (2007). Familial and temperamental predictors of resilience in children at risk for conduct disorder and depression. *Development and Psychopathology*, 19, 701–727.
- Sloan, R. P., Shapiro, P. A., Bagiella, E., Boni, S. M., Paik, M., Bigger, J. T., Jr., ..., Gorman, J. M. (1994). Effect of mental stress throughout the day on cardiac autonomic control. *Biological Psychology*, 37, 89–99.
- Stifter, C. A., Fox, N. A., & Porges, S. W. (1989). Facial expressivity and vagal tone in 5- and 10-month-old infants. *Infant Behavior and Development*, 12, 127–137.
- Stroud, L. R., Foster, E., Papandonatos, G. D., Handwerker, K., Granger, D. A., Kivlighan, K. T., & Niaura, R. (2009). Stress response and the adolescent transition: Performance versus peer rejection stressors. *Development and Psychopathology*, 21, 47–68.
- Suess, P. E., Porges, S. W., & Blude, D. L. (1994). Cardiac vagal tone and sustained attention in school-age children. *Psychophysiology*, 31, 17–22.
- Thomas, D. L., & Deiner, E. (1990). Memory accuracy in the recall of emotions. *Journal of Personality and Social Psychology*, 59, 291–297.
- Whitson, S. A., & El-Sheikh, M. (2003). Marital conflict and health: Processes and protective factors. *Aggression and Violent Behavior*, 8, 283–312.
- Wu, S., & Lo, P. (2008). Inward-attention meditation increases parasympathetic activity: A study based on heart rate variability. *Biomedical Research*, 29, 245–250.